

A low-angle, upward-looking photograph of several modern skyscrapers with glass facades, set against a clear sky. The buildings are dark and create a strong sense of height and scale. A white horizontal line passes behind the main text.

DCV::

Depósito Central de Valores (DCV)

2022

Depósito Central de Valores (DCV)

Depósito Central de Valores S.A., (DCV) is a Company established in accordance with the Law N°18.876, its regulation and the instructions issued by the Financial Market Commission (CMF)

DCV is entitled to receive securities on deposit, facilitating the transfer operations related to those securities between participants according to the procedures contemplated in the cited Law.

MISION

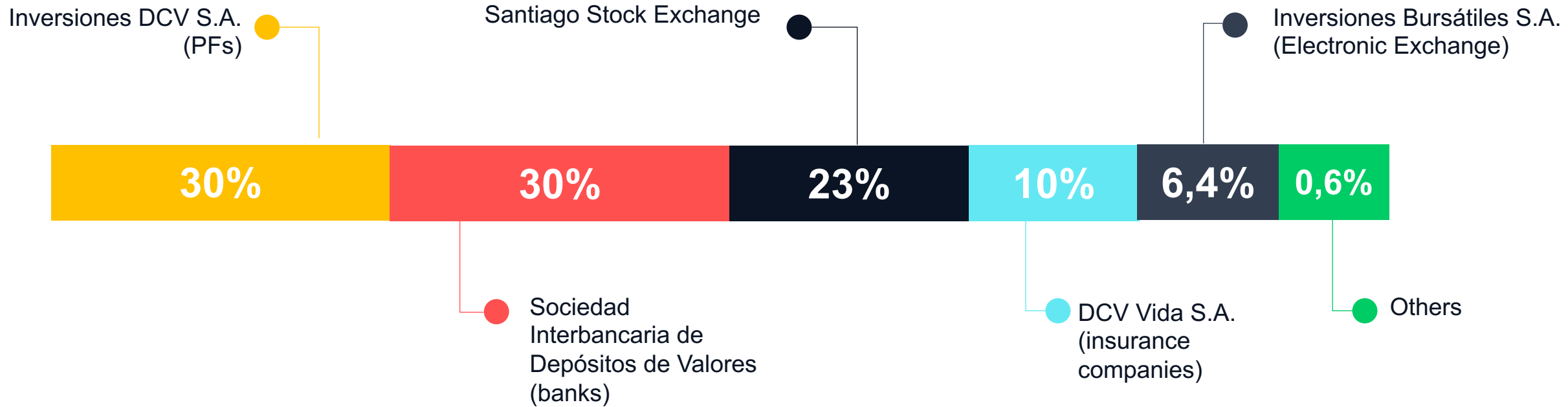
Provide the local and international capital markets with infrastructure solutions and other supplementary solutions, with the highest standards of transparency, security and efficiency.

PURPOSE

Be a leader in the management of shareholders and contributors registries, through excellence in execution, risk management and innovation.

Company

DCV Ownership



Company Governance

Board of Directors

President

Guillermo Tagle Quiroz

Vice-president

Jaime Munita Valdivieso

Directors

Juan Andrés Camus
Jorge Claude Bourdel
Mario Gómez Dubravčić
José Antonio Martínez
Fred Meller Sunkel
Cristián Rodríguez Allendes
Arturo Concha Ureta
María Eugenia Wagner

Corporate Governance

5 Board Committees

Audit Committee
Risk and Cybersecurity Committee
IT and Operational Efficiency Committee
Clients and Business Development Committee
People Management Committee

Management

General Management

Rodrigo Roblero

Legal Manager and Corporate Affairs

Javier Jara

Human Resources Management

Sandra Valenzuela

Operations and Services Management

Claudio Garín

Comptrollership and Compliance Management

Jaime Fernández

Finance and Management Control Manager

Ricardo Toro

IT Cybersecurity Operations Management

Nelson Fernández

Systems Manager

Gabriela Finkelstein

Risk Manager

Claudio Herrera

Company

Supervising

- Financial Market Commission (CMF-SBIF)
- Pensions Funds Superintendence (SP)
- Surveillance committee (5 members)
- Financial Analysis Unit (UAF)

External Audit

- KPMG



Memberships

- Americas' Central Securities Depositories Association (ACSDA)
- Association of National Numbering Agencies (ANNA)
- International Securities Services Association (ISSA)
- World Federation CSD (WFC)

Certifications and Ratings

- CISA
- Adoption and implementation of the crime prevention model certified by BH Compliance Ltda.
- Adoption and implementation of IOSCO principles; world bank evaluation for DCV "Strong for FMI"
- Quality Assessment certification for internal audit
- Thomas Murray A+
- SSAE-18
- ISO 22301
- ITIL
- COBIT
- ISO 31000

Company

186

Direct Participants

361.957

Transactions recorded Jan-Apr

802

Registries administrated (DCVR)

178

Fixed Income Issuer



Company

UF 8.910,5 millions

Total balance of the portfolio deposited



US\$ 334,7 billions

Balance of the National Portfolio

UF 8.824,5 millions



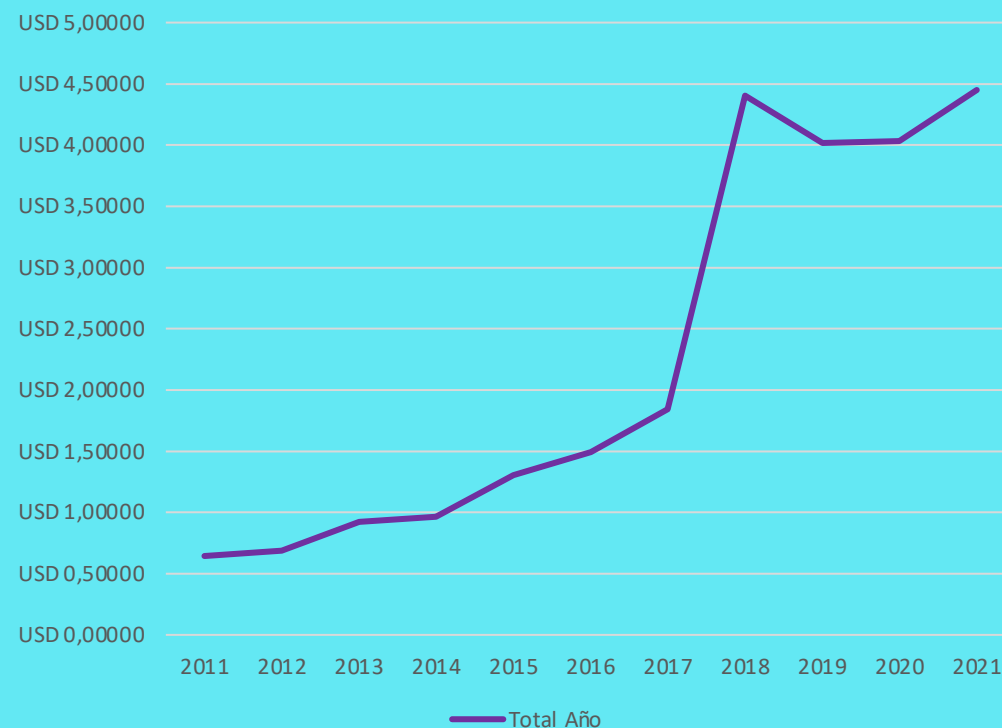
US\$ 331,5 billions

Company

US\$ 3.233,7 millions
 Balance of the International Portfolio



UF 86 millions
 Balance of the International Portfolio



Crecimiento anual custodia internacional

Chilean Financial Market

REGULATORS

CMF (SBIF) Pension Superintendence

Mutual Funds

BANKS Dealers

AGF's ANONYMOUS SOCIETIES

PRIVATE ISSUERS

DCV

PUBLIC ISSUERS

INVESTORS Central Bank of Chile

RETAIL Investment Funds GENERAL TREASURY OF THE REPUBLIC

Family Offices Insurance companies INSTITUTE OF SOCIAL FORECAST (IPS)

AFC AFP's STOCK EXCHANGE

Investors

ELECTRONIC EXCHANGE Custodian banks ICSDs (Euroclear)

STOCK BROKERS

OTC Market

CCLV COMBANC LBTR

Payment and settlement systems

Business Lines

- **National Custody**
- **International Custody**
- **Shareholder Register**
- **Collateral**
- **Statistics**
- **Documents**

Services

Deposit service

- Custody of national securities
- Custody of Mutual Funds
- Registration and settlement of operation
- Account administration
- Position certificate
- FLI
- Value management

Issue

- Registration of emissions
- Dematerialized deposit
- ANNA (ISIN code assignment)

Constituent services and others

- Custody
- Registration and settlement of operation
- Account administration
- Value management
- Test environment
- Extension of day

International Custody

Services

Deposit service

- Custody of securities
- Registration and settlement of operation
- Position certificate
- Value management
- MILA
- Foreign Securities Market
- International quota

DTCC ITP

- Institutional Trade Processing



International Network



Shareholder Registries

- Shareholders and & Participants' Registers
- Monitoring of Shareholders and Participants
- Corporate actions
- Legal Reports and Advising

802

registries managed

297.000

Shareholders managed

278

Funds managed

169

Shareholders' meetings

New Service

Special Pledge

DCV has simplified the constitution of collaterals for any public offer value feasible to be guarded in DCV, whether domestic or foreign.

- **100% electronic**
- **Reduce costs**
- **Without trial or writings**

46

Depositors
subscribed

+14.000

operations

COST SAVINGS

UF4.7

per instruction approx..

SAVING OF TIME

+10 days



Types of reports

Custody

Transactions

Instruments catalogue

Maturities per type

Pledged instruments

Type of instruments issued

Development tables

Balances AFP-AFC issuer domain

Consolidated report of instrument subjected to article 104

Documents

Forward Service

66 Customers

UF 135 millions

CLP- UF registered contracts
April 2022

USD 21,9 billions

CLP- USD registered contracts
April 2022



Quality of service in the DNA of DCV

Service Quality Survey (IPSOS)

Generate regular and timely information related to the perception of customer regarding the performance of DCV systems within a limited set of indicators

DCV, quality of service

6.6 Global satisfaction
Help desk service
(MAC)

[92%]
Evaluations
6 y 7

* Average study results: July, August, Sept, October and Nov 2020



Global satisfaction DCVR [90%]
weighted average of each channel
Evaluations 6 y 7

Global satisfaction
Office

6.8

Annual average

95%

Evaluations 6 y 7
Annual average

Global satisfaction
Call center

6.5

Annual average

92%

Evaluations 6 y 7
Annual average

Global satisfaction
Email

6.3

Annual average

82%

Evaluations 6 y 7
Annual average


2020 study weighted average results.

Challenges

Innovation

What is innovation for DCV?

That which is perceived as new and better by our collaborators and customers, and which aims to create value in order to generate new business.



DCV :: CREANDO VALOR

Thank you

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